

FORM PTO-1449
INFORMATION DISCLOSURE STATEMENT

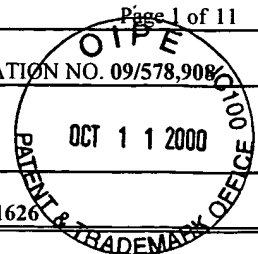
ATTY. DOCKET NO. 00166.0051-005

APPLICATION NO. 09/578,908

APPLICANT Mesens *et al.*

FILING DATE May 26, 2000

GROUP 1626



U.S. PATENT DOCUMENTS

EXAMINER INITIALS	REF. NO.	DOCUMENT NUMBER	GRANT DATE	NAME	CLASS	SUB-CLASS	FILING DATE
ES	AA1	3,197,180	07/1965	Bates <i>et al.</i>	ET	T	
	AB1	3,523,906	08/1970	Vrancken <i>et al.</i>			
	AC1	3,691,090	09/1972	Kitajima <i>et al.</i>			
	AD1	3,737,337	06/1973	Schnoring <i>et al.</i>			
	AE1	3,773,919	11/1973	Boswell <i>et al.</i>			
	AF1	3,891,570	06/1975	Fukushima <i>et al.</i>			
	AG1	3,923,288	12/1975	King <i>et al.</i>			
	AH1	3,960,757	06/1976	Morishita <i>et al.</i>			
	AI1	4,034,965	07/1977	King <i>et al.</i>			
	AJ1	4,111,402	09/1978	Barbini <i>et al.</i>			
ES	AK1	4,128,641	12/1978	Itil <i>et al.</i>	T	T	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	REF. NO.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
ES	ALI	1 212 730	11/1970	United Kingdom	T	T	<input type="checkbox"/> Yes <input type="checkbox"/> No
	AM1	1 351 811	03/1974	United Kingdom			<input type="checkbox"/> Yes <input type="checkbox"/> No
	AN1	2 015 360	09/1979	United Kingdom			<input type="checkbox"/> Yes <input type="checkbox"/> No
	AO1	0 052 510	05/1982	Europe			<input type="checkbox"/> Yes <input type="checkbox"/> No
ES	API	0 071 454	02/1983	Europe	T	T	<input type="checkbox"/> Yes <input type="checkbox"/> No

EXAMINER INITIALS	REF. NO.	OTHER (Including Author, Title, Date, Pertinent Pages, etc.)
ES	AS 1	Abstract of WO 94/10982, Kino <i>et al.</i> , "Sustained-release Microspheres Requiring No Surgical Implant - Contains Hydrophobic Antipsychotic Encapsulated in Biodegradable Polymer, Allowing Prolonged Therapeutic Effect by Infrequent Administration". (1994)
ES	AT 1	Baker, J.R., "Motionless Mixers Stir Up New Uses," <i>Chemical Engineering Progress</i> : 32-38 (June 1991).

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Therabday

DATE CONSIDERED

10/22/01

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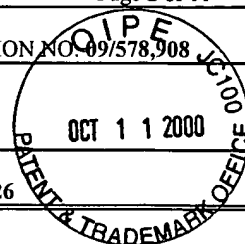
ATTY. DOCKET NO. 00166.0051-305

APPLICATION NO. 09/578,908

APPLICANT *Mesens et al.*

FILING DATE May 26, 2000

GROUP 1626



U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	GRANT DATE	NAME	CLASS	SUB-CLASS	FILING DATE
ES	AA2	4,201,482	05/1980	Imhauser <i>et al.</i>	1	1	
	AB2	4,208,136	06/1980	King <i>et al.</i>	1	1	
	AC2	4,329,331	05/1982	Kallick <i>et al.</i>	1	1	
	AD2	4,335,127	06/1982	Vandenberk <i>et al.</i>	1	1	
	AE2	4,337,261	06/1982	Shutske <i>et al.</i>	1	1	
	AF2	4,324,870	08/1982	Kennis <i>et al.</i>	1	1	
	AG2	4,352,811	10/1982	Strupczewski	1	1	
	AH2	4,389,330	06/1983	Tice <i>et al.</i>	1	1	
	AI2	4,443,451	04/1984	Kennis <i>et al.</i>	1	1	
	AJ2	4,458,076	07/1984	Strupczewski	1	1	
ES	AK2	4,485,107	11/1984	Kennis <i>et al.</i>	1	1	

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	AM2	0 129 619	01/1985	Europe	1	1	<input type="checkbox"/> Yes <input type="checkbox"/> No
	AN2	0 195 450	09/1986	Europe	1	1	<input type="checkbox"/> Yes <input type="checkbox"/> No
	AO2	0 196 132	10/1986	Europe	1	1	<input type="checkbox"/> Yes <input type="checkbox"/> No
ES	AP2	0 248 531	12/1987	Europe	1	1	<input type="checkbox"/> Yes <input type="checkbox"/> No

EXAMINER INITIALS	REF. NO.	OTHER (Including Author, Title, Date, Pertinent Pages, etc.)
ES	AR 2	Borison, R.L. <i>et al.</i> , "Clinical Overview of Risperidone," in: Meltzer, H., Y., ed., <i>Novel Antipsychotic Drugs</i> , Raven Press, Ltd., N.Y.: 233-239 (1992)
ES	AS 2	Chang, R. <i>et al.</i> , "Dissolution Characteristics of Polycaprolactone-Polylactide Microspheres of Chlorpromazine," <i>Drug Development and Industrial Pharmacy</i> , Vol. 12, No. 14, 2355-2380 (1986).
ES	AT 2	Chemineer, "Kenics Static Mixers," <i>Bulletin</i> 800: 1-11 (1986).

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DATE CONSIDERED

10/27/01

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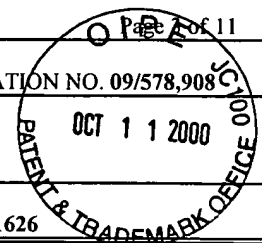
ATTY. DOCKET NO. 00166.0051-805

APPLICATION NO. 09/578,908

APPLICANT Mesens *et al.*

FILING DATE May 26, 2000

GROUP 1626



U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	GRANT DATE	NAME	CLASS	SUB-CLASS	FILING DATE
ES	AA3	4,489,055	12/1984	Couvreur <i>et al.</i>			
	AB3	4,511,258	04/1985	Federighi <i>et al.</i>			
	AC3	4,529,727	07/1985	Kennis <i>et al.</i>			
	AD3	4,530,840	07/1985	Tice <i>et al.</i>			
	AE3	4,542,025	09/1985	Tice <i>et al.</i>			
	AF3	4,585,482	04/1986	Tice <i>et al.</i>			
	AG3	4,614,440	09/1986	King <i>et al.</i>			
	AH3	4,616,937	10/1986	King <i>et al.</i>			
	AI3	4,643,584	02/1987	Allocca <i>et al.</i>			
	AJ3	4,665,075	03/1987	Vandenberk <i>et al.</i>			
ES	AK3	4,675,189	06/1987	Kent <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

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	AM3	EP 0 266 119	05/1988	05/1988 Europe			<input type="checkbox"/> Yes <input type="checkbox"/> No
	AN3	0368 388	05/1990	Europe			<input type="checkbox"/> Yes <input type="checkbox"/> No
	AO3	WO 90/13361	11/1990	WIPO			<input type="checkbox"/> Yes <input type="checkbox"/> No
ES	AP3	91/13595	09/1991	PCT			<input type="checkbox"/> Yes <input type="checkbox"/> No

EXAMINER INITIALS	REF. NO.	OTHER (Including Author, Title, Date, Pertinent Pages, etc.)
ES	AR 3	Chouinard, G. <i>et al.</i> , "A Canadian Multicenter Placebo-Controlled Study of Fixed Doses of Risperidone and Haloperidol in the Treatment of Chronic Schizophrenic Patients," <i>Journal of Clinical Psychopharmacology</i> , Vol. 13, No. 1, 25-40 (1993).
ES	AS 3	Glazer, W.M. <i>et al.</i> , "Depot Neuroleptic Therapy: An Underutilized Treatment Option," <i>J. Clin. Psychiatry</i> 53 (12):426-433 (December, 1992).
ES	AT 3	Heinrich, K. <i>et al.</i> , "Experimental Comparison of the Efficacy and Compatibility of Risperidone and Clozapine in Acute Schizophrenia," in: Kane, J.M. (ed), Risperidone: Major Progress in Antipsychotic Treatment. <i>Oxford Clinical Communications, Oxford</i> : 37-39 (1991).

EXAMINER *Chenab Salay* DATE CONSIDERED 10/27/01

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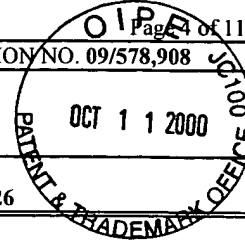
ATTY. DOCKET NO. 00166.005 05

APPLICATION NO. 09/578,908

APPLICANT Mesens *et al.*

FILING DATE May 26, 2000

GROUP 1626



U.S. PATENT DOCUMENTS

EXAMINER INITIALS	REF. NO.	DOCUMENT NUMBER	GRANT DATE	NAME	CLASS	SUB-CLASS	FILING DATE
ES	AA1	4,677,191	06/1987	Tankana <i>et al.</i>	T	T	
	AB1	4,683,288	07/1987	Tankana <i>et al.</i>			
	AC1	4,689,330	08/1987	Janssen <i>et al.</i>			
	AD1	4,696,258	09/1987	Magrath <i>et al.</i>			
	AE1	4,731,205	03/1988	McNulty <i>et al.</i>			
	AF1	4,737,500	04/1988	Sorg <i>et al.</i>			
	AG1	4,753,535	06/1988	King <i>et al.</i>			
	AH1	4,765,204	08/1988	Buchholz <i>et al.</i>			
	AI1	4,793,713	12/1988	King <i>et al.</i>			
	AJ1	4,798,786	01/1989	Tice <i>et al.</i>			
ES	AK1	4,804,663	02/1989	Kennis <i>et al.</i>	T	T	

FOREIGN PATENT DOCUMENTS

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ES	AL1	WO 91/17772	11/1991	PCT	T	T	<input type="checkbox"/> Yes <input type="checkbox"/> No
	AM1	2 246 573	02/1992	United Kingdom			<input type="checkbox"/> Yes <input type="checkbox"/> No
	AN1	0 486 959	05/1992	Europe			<input type="checkbox"/> Yes <input type="checkbox"/> No
	AO1	WO 94/10982	07/1994	WIPO			<input type="checkbox"/> Yes <input type="checkbox"/> No
	AP1	WO 94/25460	11/1994	WIPO			<input type="checkbox"/> Yes <input type="checkbox"/> No

EXAMINER INITIALS	REF. NO.	OTHER (Including Author, Title, Date, Pertinent Pages, etc.)
ES	AS 4	Janssen and Niemegeers, "Chemistry and pharmacology of compounds related to 4-(4-hydroxy-4-phenyl-piperidino)-butyrophenone," <i>Arzneim.-Forsch. (Drug Res.)</i> 12:765-767 (1959).
ES	AT 4	Janssen, P.A.J. <i>et al.</i> , "Is it Possible to Predict the Clinical Effects of Neuroleptic Drugs (Major Tranquilizers) from Animal Data?," <i>Arzneimitt. Forsch. (Drug Res.)</i> 10:1196-1206 (1965).

EXAMINER

Menschel

DATE CONSIDERED

Oct 27/07

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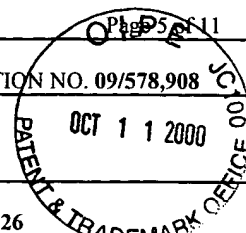
ATTY. DOCKET NO. 00166.005N-805

APPLICATION NO. 09/578,908

APPLICANT Mesens *et al.*

FILING DATE May 26, 2000

GROUP 1626



U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	GRANT DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>ES</i>	AA2	4,808,007	02/1989	King <i>et al.</i>	<i>T</i>	<i>T</i>	
	AB2	4,835,139	05/1989	Tice <i>et al.</i>			
	AC2	4,883,666	11/1989	Sabel <i>et al.</i>			
	AD2	4,897,268	01/1990	Tice <i>et al.</i>			
	AE2	4,936,689	06/1990	Federighi <i>et al.</i>			
	AF2	4,940,588	07/1990	Sparks <i>et al.</i>			
	AG2	4,994,281	02/1991	Muranishi <i>et al.</i>			
	AH2	5,008,114	04/1991	Lovrecich			
	AI2	5,075,109	12/1991	Tice <i>et al.</i>			
	AJ2	5,102,872	04/1992	Singh <i>et al.</i>			
<i>ES</i>	AK2	5,158,952	10/1992	Janssen <i>et al.</i>	<i>T</i>	<i>T</i>	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
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EXAMINER INITIALS	REF. NO.	OTHER (Including Author, Title, Date, Pertinent Pages, etc.)
<i>ES</i>	AR 5	Janssen, P.A.J. <i>et al.</i> , "Pharmacology of Risperidone (R 64 766), a New Antipsychotic With Serotonin - S ₂ and Dopamine - D ₂ Antagonistic Properties," <i>The Journal of Pharmacology and Experimental Therapeutics</i> , Vol. 244, No. 2, 685-693 (1988).
<i>ES</i>	AS 5	Koch Engineering Company Inc., "All Mixing Problems Are Not Alike," 1 page, <i>Product Brochure</i> .
<i>ES</i>	AT 5	Koch Engineering Company Inc., "Static Mixing Technology," Article: 1-15 (1991).

EXAMINER

Marah Saeed

DATE CONSIDERED

01/29/01

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ATTY. DOCKET NO. 00166.0051.005

APPLICATION NO. 09/578,908

APPLICANT *Mesens et al.*

OCT 11 2000

FILING DATE May 26, 2000

GROUP 1626

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	GRANT DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>E</i>	AA3	5,407,609	04/1995	Tice <i>et al.</i>	<i>I</i>	<i>I</i>	
	AB3	5,453,425	09/1995	Francois <i>et al.</i>			
	AC3	5,478,564	12/1995	Wantier <i>et al.</i>			
	AD3	5,650,173	07/1997	Ramstack <i>et al.</i>			
<i>E</i>	AE3	5,792,477	08/1998	Rickey <i>et al.</i>	<i>I</i>	<i>I</i>	
	AF3						
	AG3						
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FOREIGN PATENT DOCUMENTS

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EXAMINER INITIALS	REF. NO.	OTHER (Including Author, Title, Date, Pertinent Pages, etc.)
<i>E</i>	AR 6	Koflo Corporation, "Static In-Line Mixers," 4 pages, Product Brochure
<i>E</i>	AS 6	Komax Systems, Inc., "Triple Action Static Mixers," 2 pages, Product Brochure
<i>E</i>	AT 6	Leysen, J.E., <i>et al.</i> , "Biochemical Profile of Risperidone, a New Antipsychotic," <i>The Journal of Pharmacology and Experimental Therapeutics</i> , Vol. 247, No. 2, 661-670 (1988).

EXAMINER

Therabday

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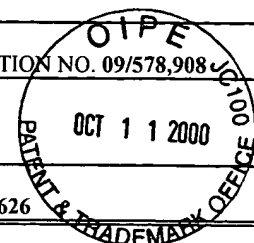
ATTY. DOCKET NO. 00166.0051.US05

APPLICATION NO. 09/578,908

APPLICANT Mesens *et al.*

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U.S. PATENT DOCUMENTS

EXAMINER INITIALS	REF. NO.	DOCUMENT NUMBER	GRANT DATE	NAME	CLASS	SUB-CLASS	FILING DATE
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	REF. NO.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
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	AP1						<input type="checkbox"/> Yes <input type="checkbox"/> No

EXAMINER INITIALS	REF. NO.	OTHER (Including Author, Title, Date, Pertinent Pages, etc.)
E	AS 7	Leyson, J.E. <i>et al.</i> , "In vitro receptor binding and neurotransmitter uptake inhibition profile of R 64 766, R 76 477, R 78 543 and 78 544," Janssen Research Foundation, Beerse, Belgium, M 79120, <i>Preclinical Research Report R 64 766/28</i> , 11 pages (January 1991).
E	AT 7	Maulding, H.V. <i>et al.</i> , "Biodegradable Microcapsules: Acceleration of Polymeric Excipient Hydrolytic Rate By Incorporation of a Basic Medicament," <i>Journal of Controlled Release</i> , 3: 103-117 (1986).

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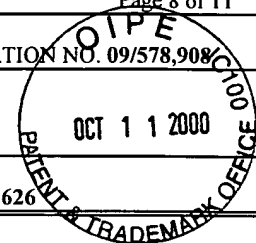
ATTY. DOCKET NO. 00166.0051005

APPLICATION NO. 09/578,908

APPLICANT *Mesens et al.*

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GROUP 1626



U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	GRANT DATE	NAME	CLASS	SUB-CLASS	FILING DATE
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FOREIGN PATENT DOCUMENTS

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EXAMINER INITIALS	REF. NO.	OTHER (Including Author, Title, Date, Pertinent Pages, etc.)
	AR 8	Megen, A.A.H.P. <i>et al.</i> , "Comparative Pharmacology of Risperidone, Its Major Metabolite R 76 477 ⁽⁺⁾ and the Corresponding Enantiomers R 78 543 ⁽⁺⁾ and R 78 544 ⁽⁻⁾ in Rats and Dogs," Janssen Research Foundation, Beerse, Belgium, N74694, <i>Preclinical Research Report</i> R 64 766/23 (June 1990).
	AS 8	Megens, A.A.H.P. <i>et al.</i> , "In Vivo Pharmacological Profile of 9-Hydroxyrisperidone, the Major Metabolite of the Novel Anti and Haloperidol," Janssen Research Foundation, Beerse, Belgium, N 96295, <i>Preclinical Research Report</i> R 64 766/R 76 477 (May 1993).
	AT 8	Mertens, C., "Long-term treatment of chronic schizophrenic patients with risperidone," in: Kane, J.M. (ed), Risperidone: Major Progress in Antipsychotic Treatment, <i>Oxford Clinical Communications, Oxford</i> : 44-48 (1991).

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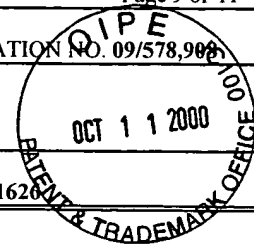
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APPLICANT *Mesens et al.*

FILING DATE May 26, 2000

GROUP 1620



U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	GRANT DATE	NAME	CLASS	SUB-CLASS	FILING DATE
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FOREIGN PATENT DOCUMENTS

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	AP3						<input type="checkbox"/> Yes <input type="checkbox"/> No

EXAMINER INITIALS	REF. NO.	OTHER (Including Author, Title, Date, Pertinent Pages, etc.)
<i>E</i>	AR 2	Mutsakis <i>et al.</i> , Advances In Static Mixing Technology," <i>Chemical Engineering Progress</i> : 42-48 (July 1986).
<i>E</i>	AS 2	Niemegeers and Janssen, "A Systemic Study of the Pharmacological Activities of Dopamine Antagonists," in: <i>Life Science</i> 24 (24):2201-2216, Pergamon Press Ltd. (1979).
<i>E</i>	AT 2	Niemegeers et al., Antiemetic Specificity of Dopamine Antagonists," <i>Psychopharmacology</i> 78:210-213 (1982).

EXAMINER

Kenshady

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OCT 11 2000

U.S. PATENT DOCUMENTS

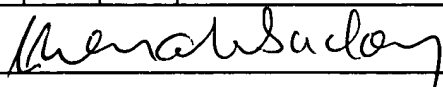
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	ABI						
	ACI						
	ADI						
	AEI						
	AFI						
	AGI						
	AHI						
	AIJ						
	AJI						
	AKI						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	REF. NO.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	ALI						<input type="checkbox"/> Yes <input type="checkbox"/> No
	AMI						<input type="checkbox"/> Yes <input type="checkbox"/> No
	ANI						<input type="checkbox"/> Yes <input type="checkbox"/> No
	AOI						<input type="checkbox"/> Yes <input type="checkbox"/> No
	API						<input type="checkbox"/> Yes <input type="checkbox"/> No

EXAMINER INITIALS	REF. NO.	OTHER (Including Author, Title, Date, Pertinent Pages, etc.)
	AS 10	Photocopy of an unofficial English Translation of Japanese Patent Application No. Hei-04 (1992)-332441, "Sustained-Release Microsphere and Process for Producing the Same," filed by Yoshitomi Pharmaceutical Industries, Ltd., which is the priority document of PCT Application No. 94/10982, pp. 1-12.
	AT 10	Ramtoola, Z., <i>et al.</i> , "Release Kinetics of Fluphenazine from Biodegradable Microspheres," <i>Journal of Microencapsulation</i> , Vol. 8, No. 4, 415-423 (1992).

EXAMINER



DATE CONSIDERED

Oct 27/01

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INFORMATION DISCLOSURE STATEMENT

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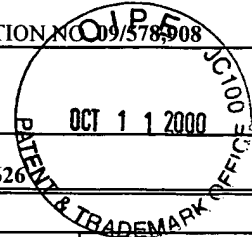
APPLICATION NO. 09/578,908

APPLICANT Mesens et al.

FILING DATE May 26, 2000

GROUP 1626

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U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	GRANT DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA2						
	AB2						
	AC2						
	AD2						
	AE2						
	AF2						
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
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	AO2						<input type="checkbox"/> Yes <input type="checkbox"/> No
	AP2						<input type="checkbox"/> Yes <input type="checkbox"/> No

EXAMINER INITIALS	REF. NO.	OTHER (Including Author, Title, Date, Pertinent Pages, etc.)
	AR 11	Streiff, F., "In-Line Dispersion and Mass Transfer using Static Mixing Equipment," <i>Sulzer Technical Review</i> 3:108-114 (1977).
	AS 11	Suzuki, K. et al., "Microencapsulation and Dissolution Properties of a Neuroleptic in a Biodegradable Polymer, Poly(D,L-lactide)," <i>Journal of Pharmaceutical Sciences</i> , Vol. 74, No. 1, 21-24 (January 1985).
	AT 11	

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DATE CONSIDERED

10/27/01

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